

# On a Generalization of Schönhardt's Polyhedron

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ABSTRACT. We show that the nonconvex twisted prism over an  $n$ -gon cannot be triangulated without new vertices. For this, it does not matter what the coordinates of the  $n$ -gon are as long as the top and the bottom  $n$ -gon are congruent and the twist is not too large. This generalizes Schönhardt's polyhedron, which is the nonconvex twisted prism over a triangle.

## 1. The Background

Lenne [1911] was the first to present a simple three-dimensional nonconvex polyhedron whose interior cannot be triangulated without new vertices. The more famous example, however, was given by Schönhardt [1927]: he observed that in the nonconvex twisted triangular prism (subsequently called “Schönhardt's polyhedron”) every diagonal that is not a boundary edge lies completely in the exterior. This implies that there can be no triangulation of it without new vertices because there is simply no interior tetrahedron: all possible tetrahedra spanned by four of its six vertices would introduce new edges. Moreover, he proved that every simple polyhedron with the same properties must have at least six vertices. Later, further such nonconvex, nontriangulable polyhedra with an arbitrary number of points have been presented. Among them, Bagemihl's polyhedron [1948] also has the feature that every nonfacial diagonal is in the exterior.

The nonconvex twisted prism over an arbitrary  $n$ -gon would arguably be the most natural generalization of Schönhardt's polyhedron. Surprisingly enough, there has been no proof so far that it cannot be triangulated without new vertices. One of the reasons seems to be that—in contrast to Schönhardt's and Bagemihl's polyhedra—not every nonfacial diagonal lies completely outside the polygonal prism. Yet, the nonconvex twisted polygonal prism indeed cannot be

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triangulated without new vertices, as we will show below. For this, it does not matter what the coordinates of the  $n$ -gon are as long as the top and the bottom  $n$ -gon are congruent and the twist is just a perturbation by rotation, i.e., it is not too large.

There is also a convex variant of Schönhardt's polyhedron, the untwisted triangular prism. Consider the two possible cyclically symmetric triangulation of its boundary quadrilaterals. They appear if we untwist the Schönhardt polyhedron and keep the diagonals on the boundary quadrilaterals. Neither such boundary triangulation can be extended to the interior without new vertices. The reason is analogous to the Schönhardt case: every possible tetrahedron would induce at least one diagonal that intersects one of the prescribed diagonals. We will show below the corresponding generalization to the polygonal prism: there is no a triangulation of the general (untwisted) polygonal prism extending a cyclically symmetric triangulation of the boundary quadrilaterals.

Besides the fact that the (frequently asked) question about the existence of triangulations of the nonconvex twisted polygonal prism deserves a conclusive answer at last, we mention one other motivation for studying problems like this. Deciding the existence of a triangulation without new vertices for a given polyhedron is NP-hard [Ruppert and Seidel 1992]. In studying the twisted polygonal prism we surprisingly hit the borderline between existence and nonexistence of triangulations without new vertices in a single type of point configurations, and this could make the twisted or untwisted polygonal prism a handy gadget for NP-hardness proofs. A similar pattern appears, e.g., in a proof that finding *minimal* triangulations of polytopes is NP-hard [Below et al. 2000].

## 2. The Objects

Consider a two-dimensional point configuration  $C_n := \{v_0, v_1, \dots, v_{n-1}\}$  in strictly convex position labeled counterclockwise. Fix a point  $o$  in the interior of  $C_n$  in  $\mathbb{R}^2$ . For  $\alpha \in [0, 2\pi)$ , let  $C_n(\alpha)$  be a copy of  $C_n$  rotated by  $\alpha$  around the point  $o$  (rotation by an angle in  $(0, 2\pi)$  means counterclockwise rotation). We call the corresponding points  $w_0, w_1, \dots, w_{n-1}$ . The *Cayley embedding* of  $C_n$  and  $C_n(\alpha)$  is defined by

$$P_n(\alpha) := \text{conv}((C_n \times \{0\}) \cup (C_n(\alpha) \times \{1\})).$$

A *triangulation* of a three-dimensional polyhedron  $P$  is a dissection into finitely many tetrahedra such that any two intersect in a common face (possibly empty). For a triangulation of  $P$  and a simplex  $F$  of arbitrary dimension we say  $T$  uses  $F$  if  $F$  is a face of some tetrahedron in  $T$ . Faces are denoted by their sets of vertices. A *triangulation without new vertices* or a  *$v$ -triangulation* of  $P$  is a triangulation all of whose vertices are vertices of  $P$ .

$P_n := P_n(0)$  is known as a *prism* over  $C_n$ . The *cyclic set of diagonals*

$$D_c := \{ \{v_i, w_{i+1}\} : i = 0, 1, \dots, n-1 \}$$

induces a triangulation of the quadrilateral facets of  $P_n(0)$  into the triangles  $\{v_i, w_i, w_{i+1}\}$  and  $\{v_i, w_{i+1}, v_{i+1}\}$ ,  $i = 0, 1, \dots, n-1$  (indices taken modulo  $n$ ).

The continuity of the determinant function ensures that there is an  $\alpha > 0$  such that no full-dimensional tetrahedron in  $P_n(0)$  has a reversed orientation (sign of determinant of the points in homogeneous coordinates) in  $P_n(\alpha)$ . In that case, the *vertical edges*  $\{v_i, w_i\}$  and the *reverse cyclic edges*  $\{w_i, v_{i+1}\}$  are among the boundary edges of  $P_n(\alpha)$ , for all  $i = 0, 1, \dots, n-1$ . For such an  $\alpha$ , we call  $P_n(\alpha)$  a *convex twisted prism over  $C_n$* . ( $P_n(\alpha)$  is a convex twisted prism over  $C_n$  if and only if the map sending  $v_i, w_i \in P_n(\alpha)$  to the corresponding  $v_i, w_i \in P_n(0)$  induces a weak map of oriented matroids [Björner et al. 1993].)

For a convex twisted prism over  $C_n$ , the *cyclic set of tetrahedra* is the set of tetrahedra

$$T_c := \{ \{v_i, v_{i+1}, w_i, w_{i+1}\} : i = 0, 1, \dots, n-1 \}.$$

Any pair of consecutive such tetrahedra intersects in a common edge.

### 3. The Results

**THEOREM 3.1.** *For all  $n \geq 3$ , no prism  $P_n(0)$  over an  $n$ -gon admits a triangulation without new vertices that uses the cyclic set  $D_c$  of diagonals.*

**THEOREM 3.2.** *For all  $n \geq 3$  and all sufficiently small  $\alpha > 0$ , no convex twisted prism  $P_n(\alpha)$  admits a triangulation that contains the cyclic set  $T_c$  of tetrahedra.*

We define the *nonconvex twisted prism  $\check{P}_n(\alpha)$*  to be the topological closure of  $P_n(\alpha) \setminus T_c$ . Since the twist is not too large, this is a nonconvex simple polyhedron. Here is now the generalization of Schönhard's polyhedron:

**COROLLARY 3.3.** *For all  $n \geq 3$  and all sufficiently small  $\alpha > 0$ , the nonconvex twisted prism  $\check{P}_n(\alpha)$  cannot be triangulated without new vertices.*

**REMARK 3.4.** When  $C_n$  is a regular triangle and  $\alpha \in (0, 2\pi/3)$ , the twisted prism  $P_3(\alpha)$  coincides with Schönhardt's twisted prism.

### 4. The Tools

For a more detailed background about the following consult [Huber et al. 2000] and the references therein.

**Minkowski sums and mixed subdivisions.** Let  $P$  and  $Q$  be point configurations in  $\mathbb{R}^2$ . Then the *Minkowski sum of  $P$  and  $Q$  scaled by  $\lambda \in (0, 1)$*  is the point configuration

$$(1-\lambda)P + \lambda Q := \{ (1-\lambda)p + \lambda q : p \in P, q \in Q \} \subset \mathbb{R}^2.$$

We make the following simplifying assumption: we consider only generic  $\lambda \in (0, 1)$ , for which  $(1-\lambda)p + \lambda q = (1-\lambda)p' + \lambda q'$  implies that  $p = p'$  and  $q = q'$ . A *mixed cell* in  $(1-\lambda)P + \lambda Q$  is the Minkowski sum  $(1-\lambda)\sigma + \lambda\tau$  of subsets  $\sigma \subseteq P$  and  $\tau \subseteq Q$ . A *mixed subdivision* of  $(1-\lambda)P + \lambda Q$  is a dissection of  $(1-\lambda)P + \lambda Q$  into finitely many mixed cells such that any two intersect in common faces (possibly empty).

A two-dimensional mixed cell is *fine* if it is the Minkowski sum of either two edges or of a point and a triangle. In the first case, the cell is a parallelogram, in the second case the cell is a triangle. A mixed subdivision is *fine* if it contains only fine mixed cells.

**Cayley embeddings.** Let  $P$  and  $Q$  as above. Then the *Cayley embedding* of  $P$  and  $Q$  is the point configuration

$$\mathcal{C}(P, Q) := \{(p, 0) : p \in P\} \cup \{(q, 1) : q \in Q\} \subset \mathbb{R}^3.$$

For example,  $P_n(\alpha)$  from above is a Cayley embedding for all  $\alpha$ .

**The Cayley trick.** The Cayley trick states that for all  $P$  and  $Q$  as above, triangulations of  $\mathcal{C}(P, Q)$  are in one-to-one correspondence with fine mixed subdivisions of  $(1-\lambda)P + \lambda Q$  for all  $\lambda \in (0, 1)$ . We will only need the fact that every triangulation of  $\mathcal{C}(P, Q)$  induces a fine mixed subdivision of  $(1-\lambda)P + \lambda Q$  for all  $\lambda \in (0, 1)$ .

The correspondence is given by intersecting  $\mathcal{C}(P, Q)$  with a horizontal hyperplane  $H_\lambda$  at height  $\lambda$ . The intersection of any tetrahedron in a triangulation of  $\mathcal{C}(P, Q)$  with  $H_\lambda$  is a fine mixed cell in  $((1-\lambda)P + \lambda Q) \times \{\lambda\} \subset \mathbb{R}^3$ . Since intersection with affine hyperplanes preserves face relations, the set of all fine mixed cells so obtained yields a fine mixed subdivision of  $(1-\lambda)P + \lambda Q$ .

Applied to  $P_n(\alpha)$  this means: each triangulation of  $P_n(\alpha)$  induces a fine mixed subdivision of  $S_n(\alpha, \lambda) := (1-\lambda)C_n + \lambda C_n(\alpha)$  for every  $\lambda \in (0, 1)$ . In summary, we have the following correspondences between objects in the Cayley embedding and the Minkowski sum:

$P_n(\alpha)$	$S_n(\alpha, \lambda)$
tetrahedra	fine mixed polygons
tetrahedra with a triangle on the top or the bottom	fine mixed triangles
tetrahedra with edges on both top and bottom	fine mixed parallelograms
nonhorizontal triangles	fine mixed edges
nonhorizontal edges	fine mixed points
orientation	counterclockwise orientation

Since the Minkowski sum lives in one dimension less than the Cayley embedding, we rather work with  $S_n(\alpha, \lambda)$ .

### 5. The Proofs

Let  $\alpha \geq 0$  be small enough such that  $P_n(\alpha)$  is a prism or a twisted prism. Fix a (small)  $\varepsilon \in (0, 1)$  such that  $|\varepsilon(v_j - v_i)| < |(1-\varepsilon)(w_j - w_i)|$  for all  $i, j = 0, 1, \dots, n-1$ . (All following considerations are also true for arbitrary  $\varepsilon \in (0, 1)$ ; the choice of a small  $\varepsilon$  makes some arguments more transparent) In particular, the scaled Minkowski sum  $S_n(\alpha) := S_n(\alpha, 1-\varepsilon) = \varepsilon P_n + (1-\varepsilon)P_n(\alpha)$  does not contain multiple points. (We use  $\varepsilon$  here instead of  $\lambda$  as in the Cayley trick of the previous page to generate the impression of a small scaling factor.) For brevity, we will use the notation  $(i, j)$  for the point  $\varepsilon v_i + (1-\varepsilon)w_j$ ,  $i, j = 0, 1, \dots, n-1$ .

**Some notions and notation.** In all what follows, we use the term “edges” not only for boundary edges but also for interior edges, sometimes called “diagonals”. Consider mixed edges. All mixed edges are, by definition, Minkowski sums of either a point and an edge or of an edge and a point. In our notation, they are of the form  $(e, i) := \{(k, i), (l, i)\}$  or of the form  $(j, e) := \{(j, k), (j, l)\}$  for some edge (or diagonal, see above)  $e = \{k, l\}$  in  $C_n$  or  $C_n(\alpha)$ , resp.

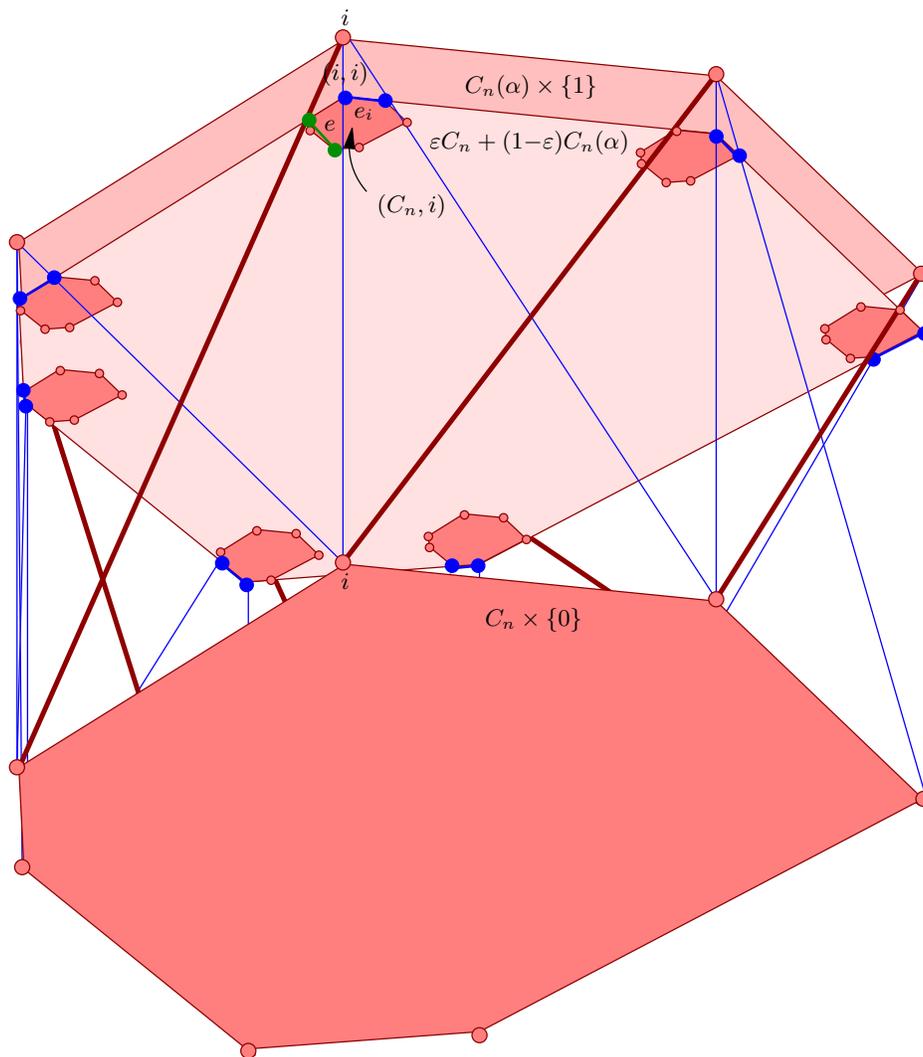
The following notions are motivated by regarding  $\varepsilon$  as being small. We highlight the most important one as a definition.

**DEFINITION 5.1 (SHORT AND LONG EDGES).** Call a mixed edge *short* if it is of the form  $(e, i)$ , call it *long* otherwise. The short mixed edge  $e_i := \{(i, i), (i+1, i)\}$  is called *special*.

The special edges are interesting in  $S_n$  because—via the Cayley trick—they correspond to triangles that are incompatible with the cyclic set of diagonals  $D_c$  in  $P_n$ . Moreover, they are interesting in  $S_n(\alpha)$  for  $\alpha > 0$  because the cyclic set of tetrahedra  $T_c$  covers the corresponding triangles in  $P_n(\alpha)$  so that in any triangulation containing  $T_c$  no other cell can use them.

For  $i = 0, 1, \dots, n-1$ , there are the convex sub- $n$ -gons  $(C_n, i) := \varepsilon C_n + (1-\varepsilon)w_i$  and  $(i, C_n(\alpha)) := \varepsilon v_i + (1-\varepsilon)C_n(\alpha)$  in  $S_n$ . By construction, all  $(C_n, i)$  are scaled translates of  $C_n$ , and all  $(i, C_n(\alpha))$  are scaled translates of  $C_n(\alpha)$ , which itself is an angle-preserving image of  $C_n$  under a (small) rotation that we call  $r(\alpha)$ . The *long* translation that shifts  $(C_n, i)$  to  $(C_n, j)$  along the long edge  $\{(i, i), (i, j)\}$  is denoted by  $T_{ij}$ ; the *short* translation that moves  $(i, C_n(\alpha))$  to  $(j, C_n(\alpha))$  along the short edge  $\{(i, i), (j, i)\}$  is denoted by  $t_{ij}$ . Note that we regard  $C_n$ ,  $(C_n, i)$ , and  $(j, C_n(\alpha))$  as point configurations in convex position, not as two-dimensional polytopes. The corresponding polytopes will be denoted by  $\text{conv}(C_n)$ ,  $\text{conv}(C_n, i)$ , and  $\text{conv}(j, C_n(\alpha))$ , resp.

Call the  $n$ -gons  $(C_n, i)$  *small* and the  $n$ -gons  $(j, C_n)$  *large*. Similarly, we call a mixed triangle with only short edges *small*; we call a mixed triangle with only long edges *large*. By definition of the Minkowski sum, each mixed triangle is either small or large. We can regard short mixed edges as edges that have both end points in the same small sub- $n$ -gon. The special short mixed edge  $e_i$  lies in the boundary of  $S_n(\alpha)$ . Figure 1 illustrates the setup.



**Figure 1.** Cutting the Cayley embedding of two  $n$ -gons with a horizontal hyperplane close to the top yields their Minkowski sum scaled as in  $S_n(\alpha)$ ; the cyclic set of diagonals and the special edges are drawn thicker.

**Road-map of the proofs.** Note that any triangulation of  $P_n$  that uses the cyclic set of diagonals induces a mixed subdivision  $M$  of  $S_n$  in which no special edge  $e_i$  is used. Consider any nonspecial short edge  $e$  in  $M$  in some small  $n$ -gon  $(C_n, i)$ . Then the “region” between  $e$  and  $e_i$  must be covered by  $M$  somehow. We want to show that this cannot be accomplished unless at least one special edge is used. We even show that at least one special edge must be used as an edge of some mixed triangle (Theorem 5.10).

How can the region between  $e$  and  $e_i$  be subdivided? There must be a cell adjacent to  $e$  on the same side as  $e_i$ . If we use a mixed triangle, i.e., a small triangle, then we harvest new short edges in the same small  $n$ -gon. One of these new short edges is “closer” to  $e_i$  in a sense to be defined precisely below, and we can proceed. If we use a mixed parallelogram then there is another short edge  $e'$  opposite to  $e$  in some other small  $n$ -gon  $(C_n, j)$  at a “partner vertex”  $j$  of  $e$ . But the “regions” containing potential partner vertices for  $e'$  towards  $e_j$  will turn out to be strictly smaller than for  $e$ .

But what happens if we use a mixture of mixed triangles and parallelograms? In fact, both ideas from above can be merged by using a certain lexicographic partial order on short edges, in which the short edges that are hit by “chasing the mixed subdivision  $M$  towards special short edges” are strictly decreasing. This shows that not all special short edges can be avoided by  $M$ .

We can make this idea precise for both the prism and the twisted prism. In the latter case, it is no surprise that even all special edges must be used, since they are boundary edges of  $S_n(\alpha)$ . However, using the cyclic set of tetrahedra means covering all special short edges by parallelograms, and we will show that at least one of them must be in a small triangle.

In the sequel, we will formalize these arguments in order to obtain rigorous proofs of Theorems 3.1 and 3.2.

**Ordering short mixed edges.** For the following, let  $e$  be a short edge in  $(C_n, i)$ . We want to give an orientation to the halfplanes separated by the line  $l(e)$  spanned by  $e$ . If  $e = e_i$ , then we make use of the fact that  $e_i$  is in the boundary of  $S_n$ , thus  $l(e)$  is a supporting hyperplane for  $S_n$ . Therefore, we can define the positive side  $l(e)^+$  of  $e$  to be the halfplane not containing  $S_n$ . If  $e \neq e_i$ , we define the positive side  $l(e)^+$  of  $e$  to be the halfplane containing  $e_i$ . This idea of investigating the subdivision between  $e$  and  $e_i$  can now be formulated as looking at cells on the positive side of  $l(e)$ .

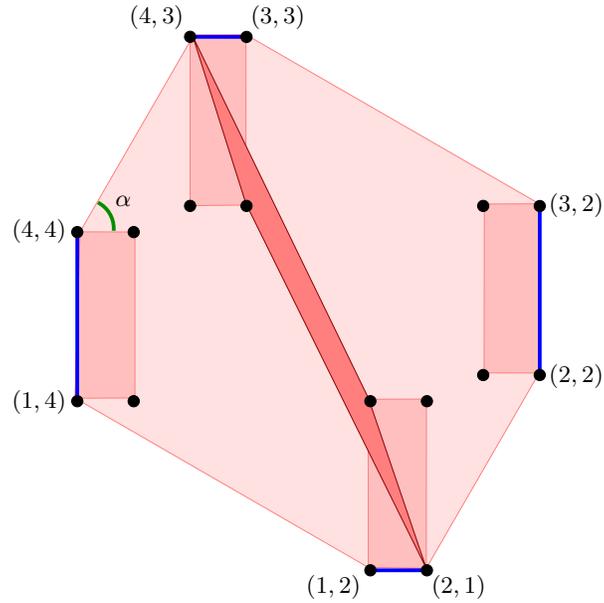
The following is a simple observation.

LEMMA 5.2. *Let  $\sigma$  be a mixed parallelogram in  $S_n(\alpha)$  with short edges  $e$  and  $e'$ . Then:*

- (i) *If  $\sigma$  is on the positive sides or on the negative sides of both of its short edges then  $l(e)$  and  $l(e')$  have opposite orientations.*
- (ii) *If  $\sigma$  is on the positive side of  $e$  and on the negative side of  $e'$ , or vice versa, then  $l(e)$  and  $l(e')$  have parallel orientations.*

One of the cases mentioned in Lemma 5.2 can actually never occur. This will allow us to keep on finding new cells on the positive sides of short edges.

LEMMA 5.3 (ORIENTATION LEMMA). *There is no fine mixed 2-cell  $\sigma$  in  $S_n$  on the positive side of all of its short edges.*



**Figure 2.** Parallelograms which are on the positive sides of both of their short edges exist when  $\alpha$  is too large; in the picture  $\alpha = \pi/3$ . However, it can be seen that the bad parallelogram flips its orientation when  $P_4(\alpha)$  is untwisted.

REMARK 5.4. The correctness of the Orientation Lemma heavily depends on the congruence of the top/bottom polygons of  $P_n(\alpha)$  and on the restriction of  $\alpha$ . That the lemma is false in even slightly more general situations can be seen in the example in Figure 2.

PROOF. Assume, for the sake of contradiction, that  $\sigma$  is a mixed 2-cell in  $S_n$  lying on the positive side of all of its short edges. Since  $\sigma$  contains the short edge  $e$ , it must be either a small triangle or a parallelogram.

Consider the case where  $\sigma$  is a small triangle on the positive side of all of its edges. The special edge  $e_i$  cannot be an edge of  $\sigma$ , since  $\sigma$  is contained in  $\text{conv } S_n$ , and  $l(e_i)^+$  was defined to be the side of  $l(e_i)$  not containing  $S_n$ . By definition of the orientations of short edges other than  $e_i$ , we conclude that  $e_i$  must be contained in  $\sigma$ . Since  $(C_n, i)$  is convex, this can only be the case if  $e_i$  is an edge of  $\sigma$ : contradiction.

Therefore,  $\sigma$  must be a parallelogram lying on the positive sides of both of its short edges  $e$  in  $(C_n, i)$  and  $e'$  in  $(C_n, j)$  for some  $i, j \in \{0, 1, \dots, n-1\}$ . We first consider this in the case of the prism, i.e., when  $\alpha = 0$ . We will also include the degenerate case, i.e., where  $\sigma$  is a line segment, into our considerations. Since  $\sigma \subset l(e)^+ \cap l(e')^+$ , the orientations of  $e$  and  $e'$  must be opposite (Lemma 5.2). In terms of translations,  $T_{ij}(l(e)^+) = l(e')^-$  and  $T_{ji}(l(e')^+) = l(e)^-$ . By definition of the orientation,  $e_i$  is on the positive side of  $e$ , and hence  $(i, i) \in l(e)^+$ . Similarly,

$(j, j) \in l(e')^+$ . This implies

$$\begin{aligned} (i, i) &\in l(e)^+, \\ (j, i) &= T_{ji}(j, j) \in T_{ji}(l(e')^+) = l(e)^-, \\ (i, j) &= T_{ij}(i, i) \in T_{ij}(l(e)^+) = l(e')^-, \\ (j, j) &\in l(e')^+. \end{aligned} \tag{5-1}$$

These are necessary conditions for a nondegenerate  $\sigma$  being on the positive side of both of its short edges. While being on the positive side of short edges does not make sense for degenerate  $\sigma$ , Conditions (5-1) have a meaning in the degenerate case as well. For further reference, we call these necessary conditions the *orientation conditions*.

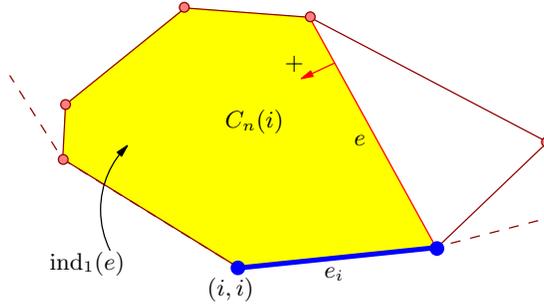
Since  $\alpha = 0$ , the points  $(i, i)$ ,  $(j, i)$ ,  $(i, j)$ , and  $(j, j)$  lie on a straight line  $\ell$ . Since  $\varepsilon$  is very small, the points appear on  $\ell$  in the order  $(i, i)$ ,  $(j, i)$ ,  $(i, j)$ , and  $(j, j)$ . This tells us that  $\ell$  starts in  $l(e)^+$ , enters  $l(e)^-$ , and then returns into  $l(e)^+$ . This implies that  $\ell = l(e)$ . By the symmetric argument, also  $\ell = l(e')$ . Therefore,  $\sigma$  is a segment. Moreover, its short edges are actually  $e = \{(i, i), (j, i)\}$  and  $e' = \{(i, j), (j, j)\}$  because the points in  $(C_n, i)$  are in strictly convex position.

This shows that a nondegenerate  $\sigma$  cannot exist in the prism. Moreover, we have learned the following useful fact: if the points  $(i, i)$ ,  $(j, i)$ ,  $(i, j)$ , and  $(j, j)$  satisfy the orientation conditions (5-1) for the short edges  $e$  and  $e'$  of some (possibly degenerate) parallelogram  $\sigma$  then  $\sigma = \{(i, i), (j, i), (i, j), (j, j)\}$ .

Since  $\sigma$  cannot exist in the prism, consider the case where  $\alpha > 0$  so that  $P_n(\alpha)$  is still a twisted prism. That means, no full-dimensional tetrahedron in  $P_n$  switches orientation during the twisting towards  $P_n(\alpha)$ . That implies that no full-dimensional parallelogram in  $S_n(0)$  changes its orientation with respect to its short edges (by the Cayley trick correspondence, page 504; easy exercise in linear algebra).

Now, untwist  $P_n(\alpha)$ , and hence  $\sigma$ . Then,  $\sigma$  must degenerate to a segment in  $P_n$ . During the untwist, for all  $\alpha > 0$  the points  $(i, i)$ ,  $(j, i)$ ,  $(i, j)$ , and  $(j, j)$  must always satisfy the orientation conditions. Since the conditions define a closed space and untwisting changes all data continuously in  $\alpha$ , they must also hold in the degenerate position  $\alpha = 0$ . Hence,  $\sigma$  must be of the form  $\{(i, i), (j, i), (i, j), (j, j)\}$  for some  $i, j \in \{0, 1, \dots, n-1\}$ . In particular,  $e = \{(i, i), (j, i)\}$ .

We finally show that during the twist,  $\sigma$  folds up in the “wrong” direction. Consider the order of the short edges incident to  $(i, i)$  counterclockwise starting at an edge of  $S_n$ . In this order  $e_i$  is the first edge, by definition. Twisting  $P_n$  again counterclockwise by  $\alpha$  will turn the slope of the short edge  $e = \{(i, i), (j, i)\}$  counterclockwise into the slope of the long edge  $\{(i, i), (i, j)\}$ . Therefore, the long edge  $\{(i, i), (i, j)\}$  and the special short edge  $e_i$  are on different sides of  $e$ . This means,  $\sigma$  lies on the negative side of  $e$ : contradiction.  $\square$



**Figure 3.** Primary index  $\text{ind}_1(e)$  of a short edge  $e$ .

The following quantity defines how close a short edge is to the corresponding special short edge. See Figure 3 for an illustration.

**DEFINITION 5.5 (PRIMARY INDEX).** We define the *primary index*  $\text{ind}_1(e)$  of any short edge  $e$  in  $S_n(\alpha)$  by

$$\text{ind}_1(e) := \text{vol}(\text{conv}(C_n, i) \cap l(e)^+).$$

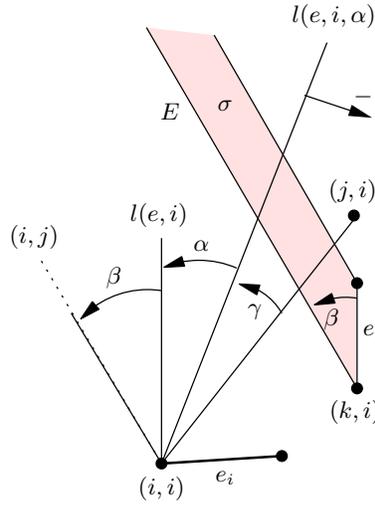
We now turn our attention to measuring how many short partner edges a short edge can find to build a parallelogram on its positive side. Consider the unique line  $l(e, i)$  parallel to  $e$  through  $(i, i)$ . Let  $l(e, i, \alpha)$  be the line that is obtained from  $l(e, i)$  by a rotation by  $-\alpha$  around  $(i, i)$ . Its orientation is obtained by rotating the orientation of  $l(e)$  by  $-\alpha$  as well. The resulting positive halfplane defined by  $l(e, i, \alpha)$  is called  $l(e, i, \alpha)^+$ .

**LEMMA 5.6 (PARTNER LEMMA).** *Let  $\sigma$  be a mixed parallelogram with short edges  $e$  and  $e'$  so that  $\sigma$  lies on the positive side of  $e$ . Assume,  $e$  lies in the small polygon  $(C_n, i)$  and  $e'$  lies in the small polygon  $(C_n, j)$ . Then  $(j, i)$  lies in the interior of  $l(e, i, \alpha)^+$ .*

**PROOF.** Assume, for the sake of contradiction, that  $(j, i)$  lies in  $l(e, i, \alpha)^-$ . By definition,  $e_i$  is inside  $l(e)^+$ . Since  $e_i$  is a boundary edge of  $S_n(\alpha)$ , one of the long edges  $E$  of  $\sigma$  must separate  $e_i$  from  $\sigma$ . Let  $(k, i) := E \cap e$ , where  $k = i$  is possible.

Let  $\beta$  be the angle from  $e$  to  $E$  around  $(k, i)$ . This angle is the same as the angle from  $l(e, i)$  to  $\{(i, i), (i, j)\}$  around  $(i, i)$ : the short translation  $t_{ki}$  moves  $(k, i)$  to  $(i, i)$ ,  $E$  onto  $\{(i, i), (i, j)\}$ , and  $e$  into  $l(e, i) \cap \text{conv } S_n(\alpha)$ . There are two cases: either  $0 < \beta < \pi$  or  $-\pi < \beta < 0$ .

If  $0 < \beta < \pi$  then the slope of  $e$  turns counterclockwise around  $(k, i)$  into the slope of  $E$ . Since  $\sigma$ , and hence  $E$ , are in  $l(e)^+$ , the interior of the positive side  $l(e)^+$  of  $l(e)$  can be characterized as follows: a point  $x \in \mathbb{R}^2$  is in the interior of  $l(e)^+$  if and only if the angle from  $e$  to  $\{(k, i), x\}$  around  $(k, i)$  is in the interval  $(0, \pi)$ . Since the orientation of  $l(e, i)$  is parallel to this, the analogous characterization holds for the interior of  $l(e, i)^+$ . The characterization of the interior of the positive side  $l(e, i, \alpha)^+$  of  $l(e, i, \alpha)$  is analogous.



**Figure 4.** The case  $0 < \beta < \pi$  in the proof of the Partner Lemma.

Let  $\gamma$  be the angle from  $\{(i, i), (j, i)\}$  to  $l(e, i, \alpha)$  around  $(i, i)$ . The assumption that  $(j, i)$  lies in  $l(e, i, \alpha)^-$  can now be expressed as  $-\gamma \in [-\pi, 0] \iff \gamma \in [0, \pi]$ . The angle from  $\{(i, i), (j, i)\}$  to  $\{(i, i), (i, j)\}$  around  $(i, i)$  equals  $\alpha$ , by construction of  $P_n(\alpha)$ . (See Figure 4 for an illustration.) Therefore:

$$\begin{aligned} \alpha &= \angle(\{(i, i), (j, i)\}, \{(i, i), (i, j)\}) \\ &= \angle(\{(i, i), (j, i)\}, l(e, i, \alpha)) + \angle(l(e, i, \alpha), l(e, i)) + \angle(l(e, i), \{(i, i), (j, i)\}) \\ &= \underbrace{\gamma}_{\in [0, \pi]} + \alpha + \underbrace{\beta}_{\in (0, \pi)} \\ &\in (\alpha, \alpha + 2\pi). \end{aligned}$$

This is a contradiction.

If  $-\pi < \beta < 0$  then we get analogously  $\gamma \in [-\pi, 0]$ . (See Figure 5 for an illustration.) Thus:

$$\begin{aligned} \alpha &= \angle(\{(i, i), (j, i)\}, \{(i, i), (i, j)\}) \\ &= \angle(\{(i, i), (j, i)\}, l(e, i, \alpha)) + \angle(l(e, i, \alpha), l(e, i)) + \angle(l(e, i), \{(i, i), (j, i)\}) \\ &= \underbrace{\gamma}_{\in [-\pi, 0]} + \alpha + \underbrace{\beta}_{\in (-\pi, 0)} \\ &\in (\alpha - 2\pi, \alpha). \end{aligned}$$

Contradiction again, and we are done. □

The following secondary index measures for any short edge the size of the region in which partner edges for a parallelogram can be found. See Figure 6 for a sketch.



LEMMA 5.9 (ORDER LEMMA). *Let  $e$  be a short edge in a mixed subdivision  $M$  of  $S_n(\alpha)$ . Then the following hold:*

- (i)  $\text{ind}_1(e) \geq 0$  and  $\text{ind}_2(e) \geq 0$ .
- (ii)  $\text{ind}_1(e) = 0$  if and only if  $e = e_i$  for some  $i = 0, 1, \dots, n-1$ .
- (iii) If  $e \neq e_i$  for all  $i = 0, 1, \dots, n-1$ , then there exists another short edge  $e'$  in  $M$  with  $e' \prec e$ ; moreover, there exists a 2-cell  $\sigma$  such that both  $e$  and  $e'$  are short edges of  $\sigma$ , and  $\sigma$  is on the positive side of  $e$  and on the negative side of  $e'$ .

PROOF. Assertions (a) and (b) are true by definition.

In order to prove (c), consider a short edge  $e$  in  $M$ . Assume that  $e$  is in  $(C_n, i)$  and that  $e \neq e_i$ . Then the mixed subdivision  $M$  must contain cells that subdivide the convex hull of  $e$  and  $e_i$ . In particular, there must be a cell  $\sigma$  on the positive side of  $e$ . There are two cases: Either  $\sigma$  is a simplex containing only short edges inside  $(C_n, i)$ , or  $\sigma$  is a parallelogram containing two short and two long edges.

*Case 1:* The cell  $\sigma$  is a simplex with short edges. By construction,  $l(e)^+$  contains  $\sigma$ . By Lemma 5.3,  $\sigma$  lies on the negative side of one of its short edges, say  $e'$ . Then  $l(e')^+$  does not contain  $\sigma$ . Moreover, since  $(C_n, i)$  is convex,  $l(e)$  and  $l(e')$  do not cross inside  $\text{conv}(C_n, i)$ . Thus,  $l(e')^+ \cap \text{conv}(C_n, i) \subseteq l(e)^+ \cap \text{conv}(C_n, i) \setminus \sigma$ . Therefore,  $\text{ind}_1(e') \leq \text{ind}_1(e) - \text{vol}(\sigma) < \text{ind}_1(e)$ , whence  $e' \prec e$ .

*Case 2:* The cell  $\sigma$  is a parallelogram containing two short and two long edges. Consider the short edge  $e'$  in  $\sigma$  opposite to  $e$ . It lies in  $(C_n, j)$  for some  $j = 0, 1, \dots, n-1$  with  $j \neq i$ .

We first prove that  $e$  and  $e'$  have the same primary index. By Lemma 5.3,  $\sigma$  lies on the negative side of  $e'$ . By construction,  $\sigma$  lies on the positive side of  $e$ . Therefore, by Lemma 5.2, the parallel lines  $l(e)$  and  $l(e')$  have parallel orientations. That means,  $T_{ij}(l(e)^+) = l(e')^+$ . Because  $T_{ij}(\text{conv}(C_n, i)) = \text{conv}(C_n, j)$ , we conclude  $\text{ind}_1(e') = \text{ind}_1(e)$ .

Next, we show that the secondary index of  $e'$  is strictly smaller than that of  $e$ . By Lemma 5.6,  $(j, i)$  lies in the interior of  $l(e, i, \alpha)^+$ . This implies that  $(j, j) = T_{ij}(j, i)$  lies in the interior of  $T_{ij}(l(e, i, \alpha)^+)$ . Since the parallel lines  $l(e)$  and  $l(e')$  have parallel orientations, the parallel lines  $l(e, i, \alpha)$  and  $l(e', j, \alpha)$  also have parallel orientations. Thus,  $l(e', j, \alpha)^+$  is strictly contained in  $T_{ij}(l(e, i, \alpha)^+)$ . Therefore,

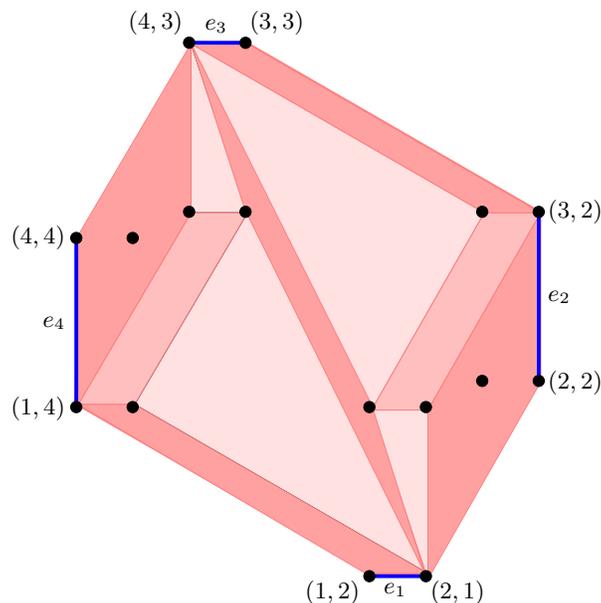
$$\begin{aligned} \text{ind}_2(e') &= \text{vol}(\text{conv}(C_n, j) \cap l(e', j, \alpha)^+) \\ &= \text{vol}(\text{conv } T_{ij}(C_n, i) \cap l(e', j, \alpha)^+) \\ &< \text{vol}(\text{conv } T_{ij}(C_n, i) \cap T_{ij}(l(e, i, \alpha)^+)) \\ &= \text{vol}(\text{conv}(C_n, i) \cap (l(e, i, \alpha)^+)) \\ &= \text{ind}_2(e). \end{aligned}$$

This proves that  $e' \prec e$ , and (iii) is proven as well. □

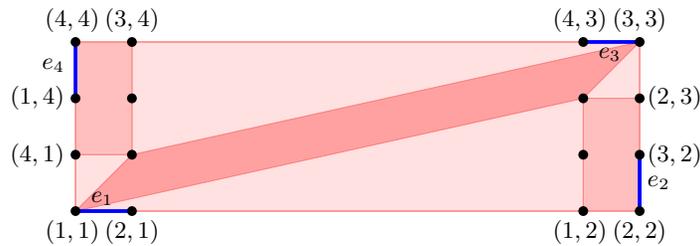
**The neighborhood of special short edges.** We are now in a position to prove the main property of mixed subdivisions of  $S_n(\alpha)$ .

**THEOREM 5.10.** *Let  $\alpha \geq 0$  such that  $P_n(\alpha)$  is a prism or a twisted prism. Then every mixed subdivision  $M$  of  $S_n(\alpha)$  contains at least one triangle one of whose edges is some special short edge.*

**REMARK 5.11.** If  $\alpha$  is too large then not only the Order Lemma is false but also Theorem 5.10, which can be seen in Figure 7. Theorem 3.2, however, might still be true for large  $\alpha$  because the cyclic set of tetrahedra defines parallelograms that are incompatible with the parallelogram that is on the positive sides of both of its short edges in Figure 7. One could consider all  $\alpha \geq 0$  for which the face lattice of  $P_n(\alpha)$  equals the one of the twisted prism in our sense. Since the existence of triangulations depends on the orientations of tetrahedra (the oriented matroid) rather than on the face lattice, we decided not to investigate this any further. If the top and the bottom  $n$ -gons are not congruent, Theorem 5.10 — and even Theorem 3.1 — do not hold either, as can be seen in Figure 8.



**Figure 7.** When  $\alpha$  is too large (here  $\alpha = \pi/3$ ), there exists a mixed subdivision where no special edge is covered by a mixed triangle; the parallelogram of Figure 2 serves as kind of an adapter between two part of the subdivision that would be incompatible otherwise. This mixed subdivision disappears when  $P_4(\alpha)$  is untwisted. The indicated mixed subdivision does, however, not contradict the statement in Theorem 3.2 for larger  $\alpha$ , since it does not use the parallelograms corresponding to the cyclic set of tetrahedra.



**Figure 8.** Congruence of top and bottom  $n$ -gons is important: even if the top and the bottom  $n$ -gon of a Cayley embedding of two  $n$ -gons are normally equivalent, there may be triangulations using the cyclic set of diagonals of the resulting combinatorial polygonal prism; the figure shows the corresponding mixed subdivision; note that indeed no special edge is used.

PROOF. Since every triangulation of  $P_n(\alpha)$  induces a triangulation of its top and its bottom polygon, at least one short triangle must be used. Not all of its short edges can be edges of  $S_n(\alpha)$ . Therefore, there is a short edge having cells on both of its sides. Hence, there is at least on 2-cell that is on the positive side of some short edge. By Lemma 5.3, every such cell lies on the negative side of one of its other short edges.

Let  $\sigma$  be a cell on the positive side of its short edge  $e$  and on the negative side of its short edge  $e'$  such that  $e'$  is minimal with respect to “ $\prec$ ”. Then, by Lemma 5.3(iii),  $e'$  is a special edge.

Every parallelogram  $\sigma$  with a special short edge  $e_i$  must lie on the negative side of  $e_i$ , since the positive side of  $e_i$  is outside  $S_n(\alpha)$ . Therefore, the parallelogram  $\sigma$  lies on the same side of  $e_i$  as  $(C_n, i)$ . Assume the opposite edge  $e$  of  $\sigma$  lies in  $(C_n, j)$  for some  $j \in \{0, 1, \dots, n-1\}$ . Then, by Lemma 5.2,  $\sigma$  lies on the opposite side of  $e$  as  $(C_n, j)$ . In particular,  $\sigma$  lies on the opposite side of  $e$  as  $e_j$ , which means,  $\sigma$  lies also on the negative side of  $e$ .  $\square$

PROOF OF THEOREM 3.1 (PRISM). For the sake of contradiction, assume that there is a triangulation  $T$  of  $P_n$  that uses the cyclic set  $D_c$  of diagonals. Using the Cayley trick,  $T$  induces a fine mixed subdivision  $M$  of  $S_n$  that uses, among others, the set of points  $(i, i+1)$  for all  $i = 0, 1, \dots, n-1$ , corresponding to the cyclic set of diagonals (labels again regarded modulo  $n$ ). The triangles in the quadrilateral facets of  $P_n$  induce the mixed edges  $\{(i, i), (i, i+1)\}$  in the boundary of  $S_n$ . They already cover the whole boundary of  $S_n$ . Thus, the special edges  $e_i := \{(i, i), (i+1, i)\}$  in the boundary of  $S_n$ , which correspond to the reverse cyclic set of diagonals in the quadrilateral facets of  $P_n$ , are not used in  $M$ . However, by Theorem 5.10, at least one  $e_i$  must be in  $M$ : contradiction.  $\square$

PROOF OF THEOREM 3.2 (TWISTED PRISM). For the sake of contradiction, assume that there is a triangulation  $T$  of  $P_n$  that uses the cyclic set  $T_c$  of tetrahedra. Construct the corresponding mixed subdivision  $M$  of  $S_n(\alpha)$ . The set  $M_c$  of mixed cells corresponding to  $T_c$  are parallelograms that cover all the special

edges  $e_i$ . Therefore, there can be no other cell that contains a special edge. By Theorem 5.10, there must be at least one mixed triangle containing a special edge  $e_i$ : contradiction.  $\square$

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